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PATENT
Docket No. 55837US002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Deral T. MOSBEY et al.)
Serial No.: 09/966,511)
Confirmation No.: 4740)
Filed: 28 September 2001)
For: WATER-IN-OIL EMULSIONS WITH ETHYLENE OXIDE GROUPS,
COMPOSITIONS, AND METHODS)

Group Art Unit: 1617
Examiner: Lauren Q. Wells

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Please note that Applicants have re-submitted the ICI Companies Datasheet and stipulate that it was published prior to 28 September 2001, which was discussed with Examiners Wells and Padmanabhan on 24 July 2003, with Applicants' Representative, Ann M. Mueting.

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, Applicants have included the fee of \$180 under 37 C.F.R. §§1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

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Information Disclosure Statement

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Page 2 of 2

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: Sue Dombroske

Name: Sue Dombroske

"Express Mail" mailing label number:
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Date of Deposit: October 14, 2003

October 14, 2003
Date

AMM/rgg

Respectfully submitted for

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**INFORMATION
DISCLOSURE
STATEMENT**

Atty. Docket No.: 55837US002

Serial No.: 09/966,511

Applicant(s): Deral T. MOSBEY et al.

Confirmation No.: 4740

Application Filing Date: 28 September
2001

Group: 1617

Information Disclosure Statement mailed: 14 Oct. 2003

**U.S. PATENT DOCUMENTS**

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|---------------------|--|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Country | Class | Subclass | Translation | |
|---------------------|--|-----------------|----------|---|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | | EP 1 069 142 A1 | 01/17/01 | EPO (English language translation and abstract included) | | | X | |
| | | | | | | | | |

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

| Examiner Initial | | Document Description |
|---------------------|--|---|
| | | ICI Companies Datasheet, "ICI Surfactants Arlacel® P135 Polymeric Emulsifier," 1 page total (published prior to 28 September 2001). |
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| EXAMINER | Date Considered |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |